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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>6007/74504</b> U.S. APPLICATION NO. (known as 37 CFR 1.5) <b>10/536618</b>
INTERNATIONAL APPLICATION NO. <b>PCT/IN2003/000209</b>	INTERNATIONAL FILING DATE <b>5 JUNE 2003</b>	PRIORITY DATE CLAIMED <b>28 NOVEMBER 2002</b>
TITLE OF INVENTION <b>N-ARYLSULFONYL-3-SUBSTITUTED INDOLES HAVING SEROTONIN RECEPTOR AFFINITY, PROCESS FOR THEIR PREPARATION AND PHARMACEUTICAL COMPOSITIONS</b>		
APPLICANT(S) FOR DO/EO/US <b>Venkata Satya Nirogi RAMAKRISHNA, Vikas Shreekrishna SHIRSATH, Rama Sastri KAMBHAMPATI, Venkata Satya Veerabhadra V. RAO, Venkateswarlu JASTI.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <b>(unsigned)</b> 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: <b>Return Receipt Postcard; Express Mail Certificate, Label No. EV305643455US dated May 26, 2005; Comm. Concerning Declaration</b>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>40/536618</b>		INTERNATIONAL APPLICATION NO. <b>PCT/IN2003/000209</b>		ATTORNEY'S DOCKET NUMBER <b>6007/74504</b>	
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200				\$ 200.00	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$ 500.00	
TOTAL OF 21, 22 and 23 =				\$ 1000.00	
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
108 - 100 =	8 /50 =	1	x \$250	\$ 250.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	19 - 20 =	0	x \$ 50	\$ 0	
Independent claims	2 - 3 =	0	x \$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 0	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,250.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 1,250.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 1,250.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 1,250.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <b>1,250.00</b> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>03-3125</b>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
<b>William E. Pelton</b>					
<b>Cooper &amp; Dunham LLP (Customer No. 23432)</b>					
<b>1185 Avenue of the Americas</b>					
<b>New York, NY 10036</b>					
				SIGNATURE	
				<b>William E. Pelton</b>	
				NAME	
				<b>25,702</b>	
				REGISTRATION NUMBER	

Dkt. 6007/74504

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Venkata Satya Nirogi Ramakrishna et al.  
U.S. Serial No. : Not Yet Known (national stage of PCT  
International Application No.  
PCT/IN2003/000209)  
Filed : Herewith  
For : NOVEL N-ARYLSULFONYL-3-SUBSTITUTED  
INDOLES HAVING SEROTONIN RECEPTOR  
AFFINITY USEFUL AS THERAPEUTIC AGENTS,  
PROCESS FOR THEIR PREPARATION AND  
PHARMACEUTICAL COMPOSITIONS

1185 Avenue of the Americas  
New York, New York 10036  
May 26, 2004

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

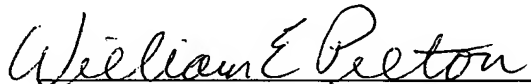
Sir:

**EXPRESS MAIL CERTIFICATE OF MAILING**  
**FOR ABOVE-IDENTIFIED APPLICATION**

- "Express Mail" Mailing Label Number: EV305643455US
- Date of deposit: May 26, 2005
- I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA, 22313-1450, Mail Stop PCT.

  
Printed Name Samuel Khachik

Respectfully submitted,



William E. Pelton  
Registration No. 25,702  
Attorney for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Venkata Satya Nirogi Ramakrishna et al.  
U.S. Serial No. : Not Yet Known (national stage of PCT  
International Application No.  
PCT/IN2003/000209)  
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INDOLES HAVING SEROTONIN RECEPTOR  
AFFINITY USEFUL AS THERAPEUTIC AGENTS,  
PROCESS FOR THEIR PREPARATION AND  
PHARMACEUTICAL COMPOSITIONS

1185 Avenue of the Americas  
New York, New York 10036  
May 26, 2004

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

COMMUNICATION CONCERNING DECLARATION

Applicants are concurrently filing a Declaration with the above-identified §371 National Stage application. This Declaration states the United States citizenship of the co-inventor Venkateswarlu Jasti. However, the WO 2004/048330 A1 International Publication of the above-identified International Application states that the citizenship of Venkateswarlu Jasti is Indian. This is an error, as identified by applicants' April 26, 2005 letter to the International Bureau of WIPO, a copy of which is attached hereto as **Exhibit A**. Accordingly, applicants note that the Venkateswarlu Jasti is correctly identified as a United States Citizen in the Declaration submitted herewith.

Applicants: Venkata Satya Nirogi Ramakrishna et al.

U.S. Serial No.: Not Yet Known (national stage of PCT International Application  
No. PCT/IN2003/000209)

Filed: Herewith

Page 2

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

Respectfully submitted,

A handwritten signature in cursive script, reading "William E. Pelton". The signature is written in dark ink and is positioned above the printed name and address.

William E. Pelton  
Registration No. 25,702  
Attorney for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

SUBRAMANIAM, NATARAJ & ASSOCIATES

ATTORNEYS-AT-LAW  
PATENT AND TRADEMARK AGENTS

E-556, GREATER KAILASH - II  
NEW DELHI-110 048

10/536618

JC13 Rec'd PCT/PTO 26 MAY 2009

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**THE INTERNATIONAL BUREAU OF WIPO**

34, chemin des Colombettes  
1211 Geneva 20  
Switzerland  
(Fax No: +41 22 740 1435)

26 April 2005

Dear Sir,

Re: **SUVEN LIFE SCIENCES LTD.**  
PCT International Application  
**No. PCT/IN2003/000209**  
Filed on : 05 June 2003  
Priority : 28 November 2002 (IN 884/MAS/2002)  
**Our ref : SUVN-RK-002**

The inventor JASTI, Venkateswarlu is a US citizen. He has been incorrectly indicated as an Indian citizen in the PCT pamphlet.

We enclose herewith a request for recordal for change of nationality of JASTI, Venkateswarlu alongwith the second page of Form PCT/RO/101.

We, therefore, respectfully request recordation and notification of the correct nationality of the inventor JASTI, Venkateswarlu as soon as possible.

Yours sincerely,

  
Hari Subramaniam

Enclosures:

Request for recordal  
Second page of Form PCT/RO/101

10/536618

JC13 Rec'd PCT/PTO 26 MAY 2009

IN THE INTERNATIONAL BUREAU OF WIPO

Atty. Ref: SUVN-RK-002

Applicant : SUVEN LIFE SCIENCES LTD.  
Application No. : PCT/IN2003/00209  
Filed : 05 June 2003  
For : NOVEL N-ARYLSULFONYL-3-SUBSTITUTED INDOLES  
HAVING SERTONIN RECEPTOR AFFINITY USEFUL AS  
THERAPEUTIC AGENTS, PROCESS FOR THEIR  
PREPARATION AND PHARMACEUTICAL COMPOSITIONS  
CONTAINING THEM

26 April 2005

By Facsimile +41 (22) 740-1435

The International Bureau Of Wipo  
34, chemin des Colombettes  
1211 Geneva 20  
SWITZERLAND

Sirs,

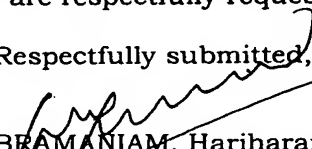
**COMMUNICATION REQUESTING THE  
RECORDING OF A CHANGE UNDER RULE 92<sup>bis</sup>**

This is to request a **change in the nationality** of the inventor **JASTI, Venkateswarlu** in connection with the above identified international patent application under PCT Rule 92<sup>bis</sup> .1(a)(i). A Demand for International Preliminary Examination electing all eligible states was timely filed on 21 June 2004. This Request is being submitted less than 30 months from the 28 November 2002 priority date of the subject application. Accordingly, this Communication is timely. Rule 92<sup>bis</sup> .1(a)(i).

The inventor **JASTI, Venkateswarlu** has been incorrectly indicated as an Indian citizen, whereas he is a **US citizen**.

Recordation of the above change in the nationality of the inventor JASTI, Venkateswarlu in the Request and the notification of such recordation are respectfully requested.

Respectfully submitted,

  
SUBRAMANIAM, Hariharan  
of SUBRAMANIAM, NATARAJ & ASSOCAITES  
Attorney for Applicant(s)

**Enclosures: (2)**

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